

**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

EFS ID: 13143  
Application ID: 09683218  
Title of Invention: CERAMIC METAL HALIDE LAMP  
First Named Inventor: James Dakin  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2001-12-03  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: LD11626  
Digital Certificate Holder: cn=Scott A. McCollister, ou=Registered Attorneys, ou=Patent and Trademark Office, ou=Department of Commerce, o=U.S. Government, c=US  
Certificate Message Digest: Q3NSx+Bz1sccX9LIZm7S9w==  
Total Fees Authorized: \$936.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 60308  
Deposit Account Name: Scott A. McCollister



09683218

# TRANSMITTAL FORM



Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket  
Number:

LD11626

Submission Type: Utility Patent  
Filing

## CERAMIC METAL HALIDE LAMP

First Named Inventor: James T. Dakin

### SUBMITTED BY

Name: Scott A. McCollister

Registration Number: 33961

Electronic Signature Mark: /s/Scott  
A. McCollister

Date Signed: 20011203

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

|             |             |
|-------------|-------------|
| declaration | DECPG01.TIF |
| declaration | DECPG02.TIF |
| declaration | DECPG03.TIF |
| declaration | DECPG04.TIF |
| declaration | DECPG05.TIF |

bibd-transmittal

GEC20547SUBMITapds.xml

DECPG05.TIF

[illegible]

**Comments:**

[illegible]

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

CERAMIC METAL HALIDE LAMP

the specification of which:

  X   is attached hereto OR  
       was filed on \_\_\_\_\_ as U.S. Serial No. \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| (Number) | (Country) | (Day/Month/Year Filed) |
|----------|-----------|------------------------|
|----------|-----------|------------------------|

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

| (Serial No.) | (Day/Month/Year Filed) |
|--------------|------------------------|
|--------------|------------------------|

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information which is material to patentability as defined in Title 37, of Federal Regulations Code, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.) (Filing Date)

Status: (Patented, Pending, Abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact business in the Patent and Trademark Office connected therewith:

|                                        |                                      |
|----------------------------------------|--------------------------------------|
| Li-Hua Luo, Reg. No. 37,986            |                                      |
| Ronald E. Myrick, Reg. No. 26,315      |                                      |
| Henry J. Policinski, Reg. No. 26,621   |                                      |
| John F. Beninati, Reg. No. 40,510      |                                      |
| Jay L. Chaskin, Reg. No. 24,030        |                                      |
| James W. Mitchell, Reg. No. 25,602     |                                      |
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| John P. Cornely, Reg. No. 41,687       | James W. McKee, Reg. No. 26,482      |
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| Jude A. Fry, Reg. No. 38,340           | Scott C. Rand, Reg. No. 40,359       |
| Steven M. Haas, Reg. No. 37,841        | Patrick R. Roche, Reg. No. 29,580    |
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| Richard M. Klein, Reg. No. 33,000      | Mark S. Svat, Reg. No. 34,261        |
| Thomas E. Kocovsky Jr. Reg. No. 28,383 | Jason A. Worgull, Reg. No. 48,044    |

**SEND CORRESPONDENCE TO:**  
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**DIRECT TELEPHONE CALLS TO:**  
 (name and telephone number)  
 Timothy E. Nauman, Esq.  
 (216) 861-5582

I hereby authorize and request my attorney to insert the application number and filing date, when known, into the assignment for this invention executed by me.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of first joint (or sole) inventor: James T. Dakin

Inventor's Signature: James T. Dakin

Date: November fifth, two thousand one

Residence: 2867 Weybridge Road, Shaker Heights, Ohio

Country of Citizenship: U.S.

Post Office Address: 2867 Weybridge Road, Shaker Heights, OH 44120

Full name of second joint inventor: James A. Leonard

Inventor's Signature: James A. Leonard

Date: November 8, 2001

Residence: 3635 Stoer Road, Shaker Heights, Ohio

Country of Citizenship: U.S.

Post Office Address: 3635 Stoer Road, Shaker Heights, OH 44122

Full name of second joint inventor: James A. Leonard

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: 3635 Stoer Road, Shaker Heights, Ohio

Country of Citizenship: U.S.

Post Office Address: 3635 Stoer Road, Shaker Heights, OH 44122

Full name of third joint inventor: Stuart A. Mucklejohn

Inventor's Signature: Stuart A. Mucklejohn

Date: 12 Nov 2001

Residence: 9 Craythorne Way, Wigston Magna, Leicestershire, UK

Country of Citizenship: British

Post Office Address: 9 Craythorne Way, Wigston Magna,  
Leicestershire, UK

Full name of third joint inventor: Gergely Ormandlaky

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: Nyar Street 30, Budapest, 1041 Hungary

Country of Citizenship: Hungarian

Post Office Address: Nyar Street 30, Budapest, 1041 Hungary

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Full name of third joint inventor: Stuart A. Mucklejohn

Inventor's Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: 9 Craythorne Way, Wigston Magna, Leicestershire, UK

Country of Citizenship: British

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Full name of fourth joint inventor: Gergely Ormandlaky

Inventor's Signature: Gergely Ormandlaky

Date: 11/14/2001

Residence: Nyar Street 30, Budapest, 1041 Hungary

Country of Citizenship: Hungarian

Post Office Address: Nyar Street 30, Budapest, 1041 Hungary

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# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 936**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 06-0308



Deposit Account Name: Fay, Sharpe, Fagan, Minnich & McKee, LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

## SUBMITTED BY

Authorized Name: Scott A. McCollister

Electronic Signature Mark: /s/Scott A. McCollister

Date Signed: 20011203

## BASIC FILING FEE

| Fee Description    | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 101      | \$ 740   |

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

|                       | Fee Code | Fee   | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 24      | 103      | \$ 18 | 4            | \$ 72    |
| Independent Claims: 4 | 102      | \$ 84 | 1            | \$ 84    |

Subtotal For Extra Claims Fees: \$ 156

## ADDITIONAL FEES

| Fee Description                                   | Number   | Quantity | Fee Code | Amount | Fee Paid |
|---------------------------------------------------|----------|----------|----------|--------|----------|
| Recording Each Patent Assignment Per Property Fee | 00000000 | 1        | 581      | \$ 40  | \$ 40    |

Subtotal For Additional Fees: \$ 40

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